



UV-217

2152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Michael D. Ellis

Application No. : 09/974,666 Confirmation No. : 7521

Filed : October 9, 2001

For : SYSTEMS AND METHODS FOR CACHING DATA
IN MEDIA-ON-DEMAND SYSTEMS

Group Art Unit : 2152

Examiner : Not yet assigned

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Commissioner for Patents
P.O. Box 2327
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TRANSMITTAL LETTER FOR
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure Statement in the above-identified application. This Statement is submitted:

[] within three months of the application filing date;

[X] more than three months from the application filing date but before the mailing date of

the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director of the U.S. Patent and Trademark Office is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

K. T. Roddy

Kevin T. Roddy
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Lillian Garcia
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicant wishes to call the attention of the Examiner to
the following patents and patent publications:

U.S. Patents Documents

Kunkel et al.

5,961,603

10/05/1999

Foreign Patent Documents

PCT

WO 98/53611

11/26/1998

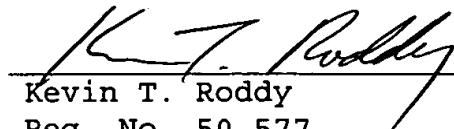
These documents are listed on the accompanying Form PTO-1449 (submitted in duplicate) and copies are enclosed herewith.

Certification Pursuant to 37 C.F.R. 1.97(e)

The information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of this statement. In particular, these references were cited in the September 3, 2002 International Search Report in applicant's corresponding PCT international application PCT/US01/31585. A copy of that search report is enclosed herewith. In accordance with 37 C.F.R. § 1.97 (c) (1), submission of this statement requires no fee.

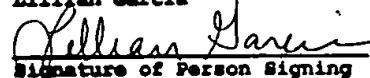
Consideration of the foregoing in relation to
this patent application is respectfully requested.

Respectfully submitted,



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Lillian Garcia

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PATENT AND TRADEMARK OFFICE

SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

ATTY. DOCKET NO.
UV/217

SERIAL NO.
09/974,666

APPLICANT
Michael D. Ellis et al.

CONFIRMATION
NO. 7521

FILING DATE
October 9, 2001

GROUP
2152

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,961,603	10/05/99	Kunkel et al.			

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 98/53611	11/26/88	PCT				

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.